ELECTIONS NOVA SCOTIA

Candidate's Financial Statements and Supporting Schedules

Form 2

Elections Act Section 229 and 230

☐ **Schedule due March 31, or**
☑ **80 days following date fixed for return of writ**

(Please print)

Reporting period in Election year from Apr 30/17 to Aug 15/17 or

☐ Reporting period in Non-election year from January 1, to

Note: Registered candidate must have political contributions audited. Political contributions must be audited, if political contributions disclosed exceed $5,000.00 in an election year, all submissions must be audited.

Candidate Name Charles Smith

Electoral District Halifax Area Party Affiliation (if any) XXX Party

Official Agent(s):

Name Tom Jones	Name
Residential Address including postal code	Residential Address including postal code
123 Main Street, Halifax, NS B1B 1B1	
Telephone Number 902-555-5555	Telephone Number
e-mail tomjones@xxx.com	e-mail

Auditor:

Name Susan Wood, XXX Audit Firm Mailing Address

876 Elm Street, Halifax, NS B2B 2B2

Telephone Number 902-444-4444 e-mail susanwood@xxx.com

Financial Institution used as a depository for contributions:

Institution Name and Branch Location ABC Bank

Mailing Address 858 Oak Street, Halifax, NS

Postal Code B2B 2B2 Account Number 01-23456-78

Declaration

I, the undersigned Official Agent, hereby file with the Chief Electoral Officer a completed Form 2, Candidate's Financial Statements and Supporting Schedules, along with an Auditor's Report from an independent public accountant. I declare, to the best of my knowledge and belief, that payments prohibited by the Elections Act were not made with my knowledge and consent and that the information contained in this Form is complete, true and correct and is in compliance with the Elections Act.

Signature of Official Agent signed by Tom Jones Date Aug 15/17

I acknowledge the financial submission has been submitted.
Signature of Candidate signed by Charles Smith Date Aug 15/17

ELECTIONS NOVA SCOTIA

Financial Return for Candidate
Summary of Financial Activity

Elections Act Section 229 and 230(1)(b)

Form 2-3

(Please print)

Candidate Name	Charles Smith	Date	Aug 15/17
Electoral District	Halifax Area	Party Affiliation (if any)	XXX Party

Section 1: Income & Transfers In		
Monetary Contributions	2,300.00	Form 2-3A
Fundraising Revenue (gross)	500.00	Form 5-1
Less: Fundraising Revenue (amount included on Form 2-3A)	-375.00	Form 5-1
Transfers from Party & Electoral District Associations	5,000.00	Form 2-3C
Nomination Fee Reimbursement from ENS	200.00	
Audit Fee Reimbursement from ENS	200.00	
Election Expenses Reimbursement from ENS	1,879.41	
Other Income (specify)		
Total Income & Transfers	9,704.41	

Section 2: Expenses & Transfers Out		
Non-election Expenses	1,640.00	Form 2-3E
Fundraising Expenses	125.00	Form 5-1
Election Expenses	1,879.41	Form 2-3F
Transfers to Party & Electoral District Associations	1,000.00	Form 2-3C
Interest Payments on Loans or Lines of Credit	0.00	Form 2-3D
Other Expenses (specify)		
Total Expenses & Transfers	4,644.41	

Net Excess (or Shortfall)	5,060.00

Auditor's Report Attached (circle one) Yes No

Declaration

I declare, to the best of my knowledge and belief, that the information contained in this Form is complete, true and correct and is in compliance with the Elections Act.

Signed at Halifax ,Nova Scotia on Aug 15/17

Signature of Official Agent signed by Tom Jones

ELECTIONS NOVA SCOTIA

Financial Return for Candidate
Statement of Monetary Contributions & Fundraising Events

Elections Act Section 230(1)(c) & (e)

Form 2-3A

(Please print)

Candidate Name	Charles Smith	Date	August 15/17
Electoral District	Halifax Area	Party Affiliation (if any)	XXX Party

Date	Last Name	First Name	NS Civic Address	Community	Postal Code	Amount
May 10/17	Doe	John	123 Main Street	Halifax	B3J 3C8	200.00
May 8/17	Smith	Mary	456 Main Street	Halifax	B3J 3C8	250.00
May 29/17	Jones	Tom	256 Any Street	Halifax	B3J 3C8	550.00
May 20/17	Smith	Steve	85 Oak Street	Halifax	B1B 1B1	500.00
May 20 & May 25/17	Wilson	Sam**	12 Oak Street	Halifax	B1B 1B1	300.00
		** includes Sam's $150 contribution related to fundraising				
		- contributed $150 via fundraising and $150 via donation to campaign				
					Subtotal	1,800.00
					Total contributions less than $200 not listed above	500.00 ***
					Total: enter on Form 2-3	2,300.00

*** includes the remaining three contributions of $75 each from the fundraising form

ELECTIONS NOVA SCOTIA

Financial Return for Candidate
Statement of Donations in Kind

Elections Act Section 240 & 248

Form 2-3B

(Please print)

Candidate Name	Charles Smith	Date	Aug 15/17
Electoral District	Halifax Area	Party Affiliation (if any)	XXX Party

Date	Description	Last Name	First Name	NS Civic Address	Community	Postal Code	Amount
						Total	0.00

NIL RETURN

ELECTIONS NOVA SCOTIA

Financial Return for Candidate
Statement of Transfers to & from
Registered Party & Electoral District Association

Elections Act Section 213, 214 & 230(1)(d)

Form 2-3C

(Please print)

Candidate Name	Charles Smith	Date	Aug 15/17
Electoral District	Halifax Area	Party Affiliation (if any)	XXX Party

Transfers from Party or EDA

Date Recd	Party Monetary	Party Non-monetary	EDA Monetary	EDA Non-monetary
May 10/17	5,000.00			
Totals	5,000.00	0.00	0.00	0.00
	to Form 2-3	to Form 2-3	to Form 2-3	to Form 2-3

Transfers to Party or EDA

Date Made	Party Monetary	Party Non-monetary	EDA Monetary	EDA Non-monetary
May 28/17			1,000.00	
Totals	0.00	0.00	1,000.00	0.00
	to Form 2-3	to Form 2-3	to Form 2-3	to Form 2-3

ELECTIONS NOVA SCOTIA

Financial Return for Candidate Loan or Line of Credit

Form 2-3D

Elections Act Section 218(3), 218(4) & 230(1)(f)

(Please print)

Candidate Name: Charles Smith

Date: Aug 15/17

Electoral District: Halifax Area

Party Affiliation (if any): XXX Party

Name & Address of Lender

ABC Bank, 858 Oak Street, Halifax, NS B2B 2B2

Name(s) & Adress(es) of Guarantor(s) & Amount of Guarantee(s)

Tom Jack, 565 Any Street, Halifax, NS B1B 1B1 - $2,500

Date	Nature of Transaction	Proceeds	Payments	Net
May 10/17	Use of Line of Credit	2,000.00		2,000.00
May 28/17	Payment on Line of Credit		1,000.00	1,000.00
				1,000.00
				1,000.00
				1,000.00
				1,000.00
				1,000.00
				1,000.00
				1,000.00
				1,000.00
				1,000.00
				1,000.00
	Total: enter on Form 2-4	2,000.00	1,000.00	1,000.00

Date	Description of Calculation	Original Amount of Loan	Loan Balance Outstanding	Loan Interest Rate	Bank Prime Interest Rate	Bank Prime Interest Rate (a)	Interest at Loan Interest Rate (b)	Interest Benefit (a-b)
								0.00
								0.00
								0.00
								0.00
								0.00
								0.00
								0.00
								0.00
							Total: enter on Form 2-3	0.00

ELECTIONS NOVA SCOTIA

Election and Non-Election Expenses for Candidate | Form 2-3E

Elections Act Section 229

(Please print)

Candidate Name: Charles Smith

Date: Aug 15/17

Electoral District: Halifax Area

Party Affiliation (if any): XXX Party

1 Doc #	2 Date of Expenditure	3 Payee Name	4 Description of Expenditure	5 Paid Expenses	6 Unpaid and Uncontested	7 Discounts (including used signs)	8 Contributed Services	9 Headquarter Expenses	10 Worker Remuneration	11 Publicity/ Advertising	12 Travel	13 Functions	14 Other	15 Personal Exp of Candidate	16 Non-Election expenses Writ period and post election	17 Non-Election expenses Pre-writ period
						Non CASH Expenses (7-8)		Election Expenses (9 - 15)							Non Election Expenses	
1	May 2/17	Smith, Henry	Office space May 2 - June 4	500.00				500.00								
			(note - can extend up to 7 days after election for close up purposes)													
2	Jun 4/17	Bell Aliant	Communications May 2 - June 4	100.00				100.00								
3	Apr 15/17	Signs are Us	Signs & posters (30% put up before election call and remaining after)	1,000.00						700.00						300.00
4	Apr 15/17	Brochures are Us	Brochures (50% used before election call and remaining after)	80.00						40.00						40.00
5	May 5/17	Jones, Ted	Mileage reimbursement for use of vehicle to put up signs (see example)	36.00							36.00					
6	May 10/17	Superstore	Cake for meet & greet	20.00								20.00				
7	Jun 2/17	Halifax Herald	Thank you for support Ad	250.00											250.00	
8	May 30/17	NSLC	Supplies for election night party	350.00											350.00	
9	May 15/17	Comfort Inn	Candidate cost for overnight stay	75.00										75.00		
10	May 16/17	Comfort Inn	Candidate breakfast	12.00										12.00		
11	May 28/17	Candidate	Candidate's use of own vehicle	200.00											200.00	
12	May 30/17	CIBC	Bank fees	5.00									5.00			
13	May 17/17	Volunteers	Lunch during canvassing	45.00					45.00							
14	Sept 15/13	Signs are Us	Signs from previous election			500.00										
15	May 10/17	Jones, Tom	Food & alcohol for meet & greet	300.00								200.00			100.00	
16	June 6/17	Offiical Agent	Reimbursement for petty cash expenses (see example)	46.41				15.98	5.75	16.32		8.36				
17	May 30/17	Poll Agents	Salary Paid (see example)	100.00					100.00							
18	May 2/17	ENS	Nomination fee	200.00											200.00	
19	June 30/17	Audit Firm	Audit fee		200.00										200.00	
			Enter totals on Form 2-3	3,319.41	200.00	500.00	0.00	615.98	150.75	756.32	36.00	228.36	5.00	87.00	1,300.00	340.00

$1,879.41 col 9 to 15

$1,640.00 col 16+17

ELECTIONS NOVA SCOTIA

Template for Travel Cost Reimbursement

(Please print)

Name of Candidate Charles Smith **Election Date** May 30/17

Name of Person Incurring Travel Ted Jones

Date	Travel to/from	Reason for Travel (Allocation)	KM Travelled	Rate	Dollar Value
May 2/17	Halifax South area	Erect election signs	20	0.4	8.00
May 3/17	Halifax North area	Erect election signs	40	0.4	16.00
May 4/17	Halifax Central area	Erect election signs	30	0.4	12.00
Total - agrees to form 2-3E doc # 5					36.00

Signature of Person Incurring Travel Ted Jones

ELECTIONS NOVA SCOTIA

Template for Petty Cash Reimbursements

(Please print)

Name of Candidate	Charles Smith	**Election Date**	May 30/17
Date of Petty Cash Reimbursement	Susan Smith		

			< - - - - - Allocation - - - - - >							
Date of Receipt	**Service Provided**	**Amount**	**Headquarters**	**Worker Remuneration**	**Publicity/Advertising**	**Travel**	**Functions**	**Other**	**Expenses of Candidate**	**Non-Election Expense**
May 10/17	Dollarama - pens	10.35	10.35							
May 12/17	Canada Post - stamps	5.63	5.63							
May 15/17	Subway - lunch for phone operator	5.75		5.75						
May 17/17	Home Hardware - nails for signs	16.32			16.32					
May 20/17	Sobeys - pop for meet and greet	8.36					8.36			
Total - agrees to 2-3E doc # 16		46.41	15.98	5.75	16.32	0.00	8.36	0.00	0.00	0.00

ELECTIONS NOVA SCOTIA

Template for Labour Provided to the Election Campaign

(Please print)

Name of Candidate	Charles Smith	**Election Date**	May 30/17
Purpose of Labour	Polling Agents		

Doc No	Date Provided	Name	Amount	Signature
na	May 30/17	Will Smith	25.00	signed by Will Smith
na	May 30/17	Peter Arnold	25.00	signed by Peter Arnold
	May 30/17	Sean King	25.00	signed by Sean King
	May 30/17	Mary Smith	25.00	signed by Mary Smith
Total of Withdrawal			100.00	Agrees to 2-3E - doc # 17

ELECTIONS NOVA SCOTIA

Financial Return for Candidate Summary of Election Expenses

Form 2-3F

Elections Act Section 229

(Please print)

Candidate Name	Charles Smith	Date	Aug 15/17
Electoral District	Halifax Area	Party Affiliation (if any)	XXX Party

1 Headquarters Expenses	615.98
2 Worker Remuneration	150.75
3 Publicity/Advertising	756.32
4 Travel	36.00
5 Campaign Functions	228.36
6 Other	5.00
7 Personal Expenses of Candidate	87.00
Subtotal	1,879.41
8 Discounts: example, rent paid less than market price	500.00
9 Contributed Service	
10 Disputed Claims	
11 Other (non election expenses)	1,640.00
Total	4,019.41

Number of Electors on the List of Electors	12,258
Maximum Election Expenses Permitted	68,493.55

Official Agent (please print)	Signature
Tom Jones	Tom Jones

This completed form shall be posted on www.electionsnovascotia.ca within 10 days of receipt, in accordance with the Elections Act Section 229(4).

ELECTIONS NOVA SCOTIA

Financial Return for Candidate
Candidate's Balance Sheet

Elections Act Section 230(1)(a)

Form 2-4

(Please print)

Candidate Name	Charles Smith	Date	Aug 15/17
Electoral District	Halifax Area	Party Affiliation (if any)	XXX Party

Assets	
Cash (Reconciled Balance At Time Of Report)	3,980.59
Accounts Receivable from Elections Nova Scotia	
Receivable For Audit Fee	200.00
Receivable For Nomination Fee	200.00
Receivable for Election Expenses Reimbursement	1,879.41
Other Receivables (specify)	
Total Assets (a)	6,260.00

Liabilities	
Accounts Payable	200.00
Overdraft/Loan (Form 2-3D)	1,000.00
Other Liabilities (specify)	
Total Liabilities	1,200.00

Surplus/(Deficit) (Form 2-3)	5,060.00
Opening Surplus (if applicable)	0.00
Total Liabilities & Surplus/Deficit (b)	6,260.00

Note: A must equal B

ELECTIONS NOVA SCOTIA

Report Respecting Tax Receipts for Candidate

Form 2-5

Elections Act Section 231

(Please print)

Candidate Name	Charles Smith	Date	June 25/17
Electoral District	Halifax Area	Party Affiliation (if any)	XXX Party

All receipts are to be accounted for. Unused receipts are to be returned to Elections Nova Scotia - Returning Officer.

Part 1

I have received the following Tax Receipts from Elections Nova Scotia:

Signed by the official agent of the Candidate: Signature: signed by Tom Jones | Date: May 3/17

Date	Receipt Numbers starting from	To
May 5/17	500001	500050

Part 2

I have issued, canceled or have on hand the following Tax Receipts:

Issued	Receipt Numbers starting from	To
May 10/17	500001	500004
May 18/17	500006	500025
May 30/17	500026	500030
Canceled or Voided	**Receipt Numbers starting from**	**To**
May 15/17	500005	500005
Unused	**Receipt Numbers starting from**	**To**
	500031	500050

Declaration

I, Tom Jones, of Halifax declare that

I am the Official Agent of Charles Smith

I declare, to the best of my knowledge and belief, that the information contained in this report is complete, true and accurate and is in compliance with the Elections Act.

Date June 25/17 Signature of Official Agent signed by Tom Jones

Date June 25/17 Signature of Elections Nova Scotia signed by ENS

ELECTIONS NOVA SCOTIA

Statement of Fundraising Revenue and Expenses

Elections Act Section 253(1)(b)

Form 5

_____ Due 120 days following event for EDA or registered party,
_____ Due 30 days following an event for a Candidate or
_____ March 31, whichever comes earlier

Filing Entity
_____ Official Agent for a Registered Party
_____ Official Agent for an EDA
_____ Official Agent for a Candidate

Name of Registered Party, Electoral District Association or Candidate hosting fundraising Event
Charles Smith

Description of fundraising Event
Fundraising Dinner

Date of fundraising event
May 20/17

Revenue and Net Calculation for Tax Receipt

Description	Ticket Class	No. of Tickets (A)	Ticket Price Each	Cost per Ticket (from below)	Net Proceeds per Ticket
Fundraising Dinner	NA	5	100.00	25.00	75.00
Total Attendees		5			

Expenses

Payee Name and Description of Purchase	Amount
ABC Restaurant	100.00
Invitations Are Us	25.00
Total Cost (B)	125.00
C = Cost per ticket (A/B) # Tickets Sold)	25.00

Please Attach Receipts for All Expenses

Please complete information on reverse side of form

ELECTIONS NOVA SCOTIA

Statement of Fundraising Revenue and Expenses

Elections Act Section 253(1)(b)

Form 5

Participants

Last Name	First Name	Address	Postal Code	Qty Tickets	Class	Amount
Smith	Will	123 Main Street	B1B 1B1	1		100.00
Jones	Tom	825 Any Street	B3J 2B8	2		200.00
Wilson	Sam	12 Oak Street	B1B 1B1	2		200.00

Declaration

I declare, to the best of my knowledge and belief, that the information contained in this Form is complete, true and correct and is in compliance with the Elections Act.

Name (please print) Tom Jones Date May 20/17

Signed by the Official Agent signed by Tom Jones

___x__ **Approved: Office of the Chief Electoral Officer**

_____ **Declined (reason):**

Date	Signature of Chief Electoral Officer
May 22/17	signed by Chief Electoral Officer

Upon approval of the calculation of revenue and expenses contained in this Form, tax receipts may be issued in accordance with the Elections Act.

ELECTIONS NOVA SCOTIA

Statement of Fundraising Part 2
Summary of Fundraising Functions

Form 5-1

(Please print)

Party/EDA/Candidate: Charles Smith

Party Affiliation (if any): XXX Party

Date of Function	Description of Function	Number of Attendees	Gross Revenue	Expenses	Net Revenue
May 20/17	Fundraising Dinner	5	500.00	125.00	375.00
		Totals	500.00	125.00	375.00

ELECTIONS NOVA SCOTIA

Financial Return for Candidate
Notification of Disposal of Excess Contributions

Elections Act Section 232

Form 2-6

_____ **Due within one month following receipt of candidate's final election expenses reimbursement.**

_____ **Due with two months following date candidate expenses were filed, if no reimbursement is payable.**

Charles Smith	Oct 15/17
Candidate Name	Date
Halifax Area	XXX Party
Electoral District	Party Affiliation (if any)

Description	Amount
1. Reconciled Bank Account at time of return (from form 2-4 in original file)	$ 3,980.59
2. Add: Reimbursements from Elections Nova Scotia (75%, 25%, audit fee, and nomination)	2,279.41
3. Add: Accounts receivable received (from 2-4)	-
4. Add: Additional income received (specify)	
5. Less: Funds withheld by the party (if applicable)	940.00
6. Less: Audit Fee	200.00
7. Less: Payment of account payables listed on Form 2-4 at time of original submission (less funds withheld by party, and audit fee)	
8. Less: Additional invoices received and paid after the initial submission (attach invoices)	
9. Less: Bank charges (from time of return to close of account)	5.00
10. Less: Repayment of loan outstanding (from 2-4)	1,000.00
11. Less: Additional interest paid on loan outstanding from date of original submission to final payment	12.36
12. Less: Costs associated with recount	-
13. Other (specify) :	
14. Excess Contributions Issued to EDA, Registered Party or Minister of Finance	$ 4,102.64

Excess Contributions transferred to (please check one):

__X___ Electoral District Association for the candidate who is representing the registered party.

_____ Registered Party if there has not been an Electoral District Association established.

_____ Elections Nova Scotia if an independent candidate, cheque made payable to the Minister of Finance

_____ No Excess Contribution

Attached (Initial):

____X___ Bank statements from time of original file to close of bank account

____X___ Proof that bank account has been closed (this maybe included on bank statement)

_____ Copies of any additional invoices paid after the original submission

____X___ Proof of transfer of excess contributions to registered party, EDA, or the Minister of Finance.

I declare that all outstanding invoices have been paid, the bank account has been closed, and all information reported is true and correct:

signed by Tom Jones	Oct 15/17
Signature of Official Agent	Date